# This Page Is Inserted by IFW Operations and is not a part of the Official Record

# **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

## IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Kayyem et al.

Serial No.: 08/873,597

Filed: June 12, 1997

For: AC Methods for the

Detection of Nucleic Acids

Group No. 1634

Examiner: Forman, Betty J.

CERTIFICATE OF MAILING

I hereby certify that this correspondence and its listed enclosures are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on: Date:

Signature

Steve Lendaris

### TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING REJECTION

Mail Stop AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Petitioner, Clinical Micro Sensors, Inc., represents that it is the assignee of the entire right, title, and interest of

- (1) the instant application, U.S. Serial Number 08/873,597, filed June 12, 1997, which claims the benefit of the filing date of U.S. Serial Number 60/040,155, filed March 7, 1997; and
- (2) U.S. Patent No. 6,248,299, filed October 13, 1999, which is a continuation of U.S. Patent No. 6,013,170, filed June 12, 1998, which is a continuation of U.S. Patent No. 6,013,459, filed June 12, 1997.

Written assignment proof for Serial No. 08/873,597, is recorded in the U.S. Patent and Trademark Office at Reel/Frame 88875/0764. Written proof for U.S. Patent 6,248,299, is recorded in the U.S. Patent and Trademark Office at Reel/Frame Number 9555/0167. Copies of the assignments are attached to this Terminal Disclaimer.

Clinical Micro Sensors, Inc., hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application, which would extend beyond the expiration date of the full statutory term defined in 35 U.S.C. §§ 154-156 and 173, as shortened by any terminal disclaimer of U.S. Patent No. 6,248,299.

Clinical Micro Sensors, Inc., hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

In making the above disclaimer, **Clinical Micro Sensors**, **Inc.**, does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. §§ 154-156 and 173 of U.S. Patent No. 6,248,299, as shortened by any terminal disclaimer, in the event that the patent later expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 C.F.R. § 1.321, has all claims cancelled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as shortened by any terminal disclaimer.

The undersigned is empowered to act on behalf of the petitioner/assignee. The undersigned has reviewed the evidentiary documents in the chain of title of the present

application identified above, and certifies that, to the best of assignee's knowledge and belief, title is in the assignee, Clinical Micro Sensors, Inc.

I, the undersigned, hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that willful, false statements may jeopardize the validity/enforceability of the application or any patent issued thereon.

Dated: March 1, 2004

By:

Name:

Title



**PTAS** 

FLEHR HOHBACH TEST ET AL ROBIN M. SILVA, ESQ. SUITE 3400 FOUR EMBARCADERO CENTER SAN FRANCISCO, CA 94111-4187 OF PATENTS AND TRADEMARKS Washington, D.C. 20231

Patent and Trademark Office. ASSISTANT SECRETARY AND COMMISSIONER

UNITED STATES DEPARTMENT OF COMMERCE



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/05/1998

REEL/FRAME: 8875/0764 NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KAYYEM, JON FAIZ

DOC DATE: 07/07/1997

ASSIGNOR:

O'CONNOR, STEPHEN D.

DOC DATE: 07/07/1997

ASSIGNEE:

CLINICAL MICRO SENSORS, INC. 101 WAVERLY DRIVE PASADENA, CALIFORNIA 91105

SERIAL NUMBER: 08873597

PATENT NUMBER:

FILING DATE: 06/12/1997

ISSUE DATE:

MAYA BENNETT, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

Committee Services

#### **ASSIGNMENT**

#### (NOT ACCOMPANYING APPLICATION)

WHEREAS, the undersigned,				
(1) Jon Faiz Kayyem	(2) Stephen D. O'Connor			
(hereinafter termed "Inventors"), residents of	·			
(1) Pasadena	(2) Pasadena			
respectively, Counties of				
(1) Los Angeles	(2) Los Angeles			
respectively, States of				
(1) California	(2) <u>California</u>			
respectively, have invented certain new and useful	improvements in			
ALTERNATING CURRENT METHODS FO	OR DETECTION OF NUCLEIC ACIDS			
and have executed an application for a United State	s patent disclosing and identifying the invention			
on even date herewith; and having Serial No. <u>08/</u>				
	corporation of the State of <u>Delaware</u> , having a			
place of business at 101 Waverly Drive, Pasade	na_, State of <u>California</u> , (hereinafter termed			
"Assignee"), is desirous of acquiring the entire right				
the invention disclosed therein, and in and to all embodiments of the invention, heretofore				

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign

- 1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.
- 2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall

countries.

petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

- 3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.
- 4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventors have executed and delivered this instrument to said Assignee as follows:

V Date: gly 7,1997

(1) Jon Faiz Kayyem

County of Los Angeles

1.C. ..

,) ) ss.

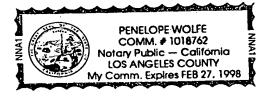
State of California

On this 7th day of July in the year 1997, before me, lenelope Wolfe.

Notary Public of the State of California personally appeared (1) Jon Faiz Kayyem, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged that he/she executed the same in his/her-authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature Venelope Wolfe



(Seal)

Date: July 7, 1997	X (2) Myshin D. O'Connor
county of Los Angeles	,) ) ss.
State of California	.)
# 71	in the year 1997, before me, Penelope Wolfe

On this 7th day of July, in the year 1997, before me, lene of Wolfe, Notary Public of the State of California, personally appeared (2) Stephen D. O'Connor, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature Penelopelsolfe
[560842]

PENELOPE WOLFE
COMM. 1018762
Notary Public — California
LOS ANGELES COUNTY
My Comm. Expires FEB 27, 1998

(Seal)

i Dine i Me	File A-64789-1 PFT PINS			STATIMENT OF COLLIE
Type	Ƴ ke	ers <u>Q</u>	:	S. PATES OF AUTO

PTAS

FLEHR HOHBACH TEST ALBRITTON & HERBERT ROBIN M. SILVA SUITE 3400 FOUR EMBARCADERO CENTER SAN FRANCISO, CA 94111-4187





UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/29/1998

REEL/FRAME: 9555/0167

NUMBER OF PAGES: 3

Washington, D.C. 20231

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

MEADE, THOMAS J.

DOC DATE: 09/11/1998

ASSIGNEE:

CLINICAL MICRO SENSORS, INC. 101 WAVERLY DRIVE PASADENA, CALIFORNIA 91105

SERIAL NUMBER: 09096504

PATENT NUMBER:

FILING DATE: 06/12/1998

ISSUE DATE:

JOANN STEWART, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS



U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

100872267 the Honorable Assistant Commiss

\_\_\_ease record the attached original

documents or copy thereof.	The state of the s		
1. Name of conveying party(ies):	2. Name and address of receiving party(ies): Name: CLINICAL MICRO SENSORS, INC.		
THOMAS J. MEADE	Internal Address:		
Additional name(s) of conveying party(ies) attached? [] Yes [X] No			
3. Nature of Conveyance:  [X] Assignment [ ] Merger  [ ] Security Agreement [ ] Change of Name  [ ] Other	Street Address: 101 WAVERLY DRIVE City: PASADENA, CALIFORNIA Country U.S.A. Zip: 91105 Additional name(s) & address(es) attached?		
Execution Date: September 11, 1998	[] res [K] NO		
4. Application number(s) or patent number(s): If this document is being filed together with a new ap	oplication, the execution date of the application is:_		
A. Patent Application No.(s)	B. Patent No.(s)		
09/096,504			
Additional numbers attac	hed? [ ] Yes [X] No		
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved:		
Name: ROBIN M. SILVA Internal Address: FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP	7. Total fee (37 CFR 3.41):\$ 40.00  [X] Enclosed [] Authorized to be charged to deposit account		
Street Address:         SUITE 3400           FOUR EMBARCADERO CENTER           City:         SAN FRANCISCO           State:         CA         Zip: 94111-4187	8. Deposit account number: 06-1300 Please debit any underpayment or credit any overpayment to the above deposit account.  Our Order No. A-64789-1/RFT/RMS		
DO NOT USE	THIS SPACE		
9. Statement and signature. To the best of my knowledge and belief, correct and any attached copy is a true copy.  ROBIN M. SILVA  Name of Person Signing REG. NO. 38,304  Total number of pages including of	the foregoing information is true and by of the original document.  10/16/18  ature Date  cover sheet, attachments and document: [5]		
OMB No. 0651-0011 (exp. 4/94)			
Mail documents to be recorded with required Honorable Commissioner of Patent Washington	this portion		
File No. A-64789-1/RFT/RMS	Rev. 8/93 (600635)		
AADDA BURNIYEN AAAAASAS ADADEEAA			

#### **ASSIGNMENT**

WHEREAS, the undersigned, <u>Thomas J. Meade</u> (hereinafter termed "Inventor"), a resident of <u>1656 New York Drive</u>, Altadena, County of <u>Los Angeles</u>, State of <u>California</u>, has invented certain new and useful improvements in <u>DETECTION OF ANALYTES USING</u>

REORGANIZATION ENERGY; and having serial number <u>09/096,504</u> and filing date of <u>12 June 1998</u>, and has executed concurrently herewith an application for a United States patent disclosing and identifying the invention; and

WHEREAS, <u>Clinical Micro Sensors, Inc.</u> (hereinafter termed "Assignee"), a corporation of the State of <u>Delaware</u>, having a place of business at <u>101 Waverly Drive, Pasadena</u>, State of <u>California</u>, is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventor (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor to have been received in full from said Assignee:

- 1. Said Inventor does hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.
- 2. Said Inventor hereby covenants and agrees to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventor shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or

additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.

- 3. The terms, covenants and conditions of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor, his heirs, legal representatives and assigns.
- 4. Said Inventor hereby warrants and represents that he has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventor has executed and delivered this instrument to said Assignee as follows:

Y Date: 9-11-98

By Thomas J. Meado

County of

LOS ANGELES

,) ) ss.

State of

CALIFORNIA

On this 11 day of <u>Sept.</u>, in the year <u>1998</u>, before me, <u>Penelope Wolfe</u>, Notary Public of the State of <u>Calif.</u>, personally appeared <u>Thomas J. Meade</u>, personally known to me (or proved to mexon the basis of satisfactory exidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he sake executed the same in his there authorized capacity (iess), and that by his there signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature

andope Wolfe

(Seal)

